

IFW

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/726,201

Filing Date

December 2, 2003

First Named Inventor

John B. Amundson

Art Unit

Unknown

Examiner Name

Unknown

Attorney Docket Number

H0005051-9950 (1161.1135101)

ENCLOSURES (Check all that apply)

- | | | |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance Communication to TC |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Reply to Missing Parts/Incomplete Application | <input type="checkbox"/> Landscape Table on CD | |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | | |

Remarks

35 Non-U.S. Patent References
Return Postcard

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name
Crompton, Seager & Tufte

Signature

Printed name
Brian N. Tufte

Date
April 25, 2005

Reg. No.
38,638

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Lynn Thompson

Typed or printed name
Lynn Thompson

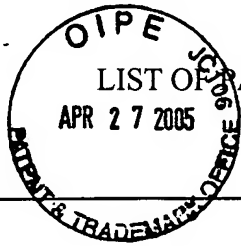
Date
April 25, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**INFORMATION DISCLOSURE STATEMENT BY
APPLICANT**Atty. Docket No.:
H0005051-9950
(1161.1135101)Serial No.:
10/726,201

Page 1 of 3



LIST OF PATENTS AND PUBLICATIONS

Applicant: John B. Amundson et al.

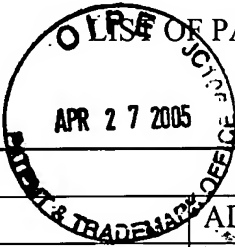
Filing Date:
December 2, 2003Group Art:
unknown**U.S. PATENT DOCUMENTS**


Examiner Initial	Document No.	Date	Name	
	2003/0142121	07/31/2003	Rosen	
	2001/0029585	10/11/2001	Simon et al.	
	4,224,615	09/23/1980	Penz	
	5,086,385	02/04/1992	Launey et al.	
	5,170,935	12/15/1992	Federspiel et al.	
	5,818,428	10/06/1998	Eisenbrandt et al.	
	5,886,697	03/23/1999	Naughton et al.	
	6,020,881	02/01/2000	Naughton et al.	
	6,059,195	05/09/2000	Adams et al.	
	6,140,987	10/31/2000	Stein et al.	
	6,192,282	02/20/2001	Smith et al.	
	6,285,912	09/04/2001	Ellison et al.	
	6,330,806	12/18/2001	Beaverson et al.	
	6,344,861	03/05/2002	Naughton et al.	
	6,478,233	11/12/2002	Shah	
	6,581,846	06/24/2003	Rosen	
	6,595,430	07/22/2003	Shah	
	6,619,555	09/16/2003	Rosen	
	6,621,507	09/16/2003	Shah	

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Country	Translation Yes No
	DE 3334117.6	04/04/1985	DE	Translation
	EP 0985994	03/15/2000	EP	
	EP 1074009	07/02/2001	EP	
	WO 01/52515	19/07/2001	PCT	
	WO 01/79952	25/10/2001	PCT	
	WO 97/11448	27/03/1997	PCT	
	WO 97/39392	10/23/1997	PCT	

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT Page 2 of 3	Atty. Docket No.: H0005051-9950 (1161.1135101)	Serial No.: 10/726,201
	Applicant: John B. Amundson et al.	
	Filing Date: December 2, 2003	Group Art: unknown
	ADI, "Leopard User Manual," 93 pages, 2001.	
	Adicon 2500, "The Automator," 4 pages, October - December 2000.	
	ADT Security Services, "iCenter Advanced User Interface 8142ADT," Installation and Setup Guide, 5 pages, May 2001; First Sale February 2001.	
	Business Wire, "MicroTouch Specialty Products Group to Capitalize on Growing Market for Low-Cost Digital Matrix Touchscreens," p1174 (2 pages), January 6, 1999.	
	Climatouch, User Manual, Climatouch CT03TSB Thermostat, Climatouch CT03TSHB Thermostat with Humidity Control, Outdoor UHF Temperature Transmitter 217S31, 19 pages, Printed September 15, 2004.	
	DeKoven et al., "Designing Collaboration in Consumer Products," 2 pages, 2001.	
	Freudenthal et al., "Communicating extensive smart home functionality to users of all ages: the design of a mixed-initiative multimodal thermostat-interface," pp. 34-39, March 12-13, 2001.	
	Honeywell News Release, "Honeywell's New Sysnet Facilities Integration System For Boiler Plant and Combustion Safety Processes," 4 pages, December 15, 1995.	
	Honeywell, "Introduction of the S7350A Honeywell WebPAD Information Appliance," Home and Building Control Bulletin, 2 pages, August 29, 2000; Picture of WebPad Device with touch screen, 1 Page; and screen shots of WebPad Device, 4 pages.	
	Honeywell, "W7006A Home Controller Gateway User Guide," 31 pages, July 2001.	
	"Mark of Excellence Award Finalist Announced," http://64.233.167.104/search?Q=cache:ciOA2YtYaBIJ:www.hometoys.com/releases/mar. . . , 6 pages, Leopard Touchscreen on page 2, dated prior to March 4, 2000, printed August 20, 2004.	
	"High-tech options take hold in new homes - 200-08-28 - Dallas Business Journal," http://bizjournals.com/dallas/stories/2000/08/28/focus4 , 3 pages, dated August 28, 2000, printed August 19, 2004.	
	"Product Review - Philips Pronto Remote Control," http://hometheaterhifi.com/volume_6_2/philipsprontoremotecontrol.html , 5 pages, dated May 1999, printed August 20, 2004.	
	"CorAccess Systems/In Home," http://web.archive.org/web20011212084427/www.coraccess.com/home.html , 1 page, copyright 2001, printed August 19, 2004.	
	"A Full Range of Alternative User Interfaces For Building Occupants and Operators," http://www.automatedbuildings.com/news/jan00/articles/andover/andover.htm , 5 pages, dated January 2000, printed September 20, 2004.	
	http://www.cc.gatech.edu/computing/classes/cs6751_94_fall/groupc/climate-2/node1.html , "Contents," 53 pages, printed September 20, 2004.	
	"HAI Company Background," http://www.homeauto.com/AboutHAI/abouthai_main.htm , 2 pages, printed August 19, 2004.	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT Page 3 of 3	Atty. Docket No.: H0005051-9950 (1161.1135101)	Serial No.: 10/726,201
	Applicant: John B. Amundson et al.	
	Filing Date: December 2, 2003	Group Art: unknown

	Cardio, by Secant; http://www.hometoys.com/htinews/apr98/reviews/cardio.htm , "HTINews Review," February 1998, 5 pages, printed September 14, 2004.
	Cardio Manual, available at http://www.secant.ca/En/Documentation/Cardio2é-Manual.pdf , Cardio Home Automation Inc., 55 pages, printed September 28, 2004.
	Domotique Secant Home Automation - Web Page, available at http://www.secant.ca/En/Company/Default.asp , 1 page, printed September 28, 2004.
	"Vantage Expands Controls For Audio/Video, HVAC and Security," http://www.hometoys.com/htinews/aug99/releases/vantage03.htm , 2 pages, dated August 3, 1999, printed August 20, 2004.
	Visor Handheld User Guide, Copyright 1999-2000.
	"Home Toys Review - TouchLinc", http://www.hometoys.com/htinews/aug99/reviews/touchlinc/touchlinc.htm , 3 pages, dated August 1999, printed August 20, 2004.
	"RC X10 Automation Forum: Control your Heating and Cooling System with Pronto(1/1)," http://www.remotecentral.com/cgi-bin/mboard/rc-x10/thread.cgi?12 , 2 pages, dated April 23, 1999, printed August 20, 2004.
	Blake et al., "Seng 310 Final Project" Report, dated April 6, 2001.
	Blake et al., "Seng 310 Final Project Demo Program" Illustration, 3 pages, April 6, 2001.
	"Spotlight on integrated systems," Custom Builder, V8, N2, p.66(6), March-April, 1993.
	AutomatedBuildings.com Article - "THIN CLIENT" SOLUTIONS, "Pressure, Air Flow, Temperature, Humidity & Valves," Dwyer Instruments, Inc., 5 pages, printed September 20, 2004.

EXAMINER:	DATE CONSIDERED:
-----------	------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.